## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Nobuaki TAKAHASHI et al.

Title:

MUTANTS OF ANTI-CD40 ANTIBODY

Int'l. Appl. No.:

PCT/JP2004/019750

Int'l. Filing

12/24/2004

Date:

Examiner:

Unassigned

Art Unit:

Unassigned

Confirmation

Unassigned

Number:

#### **DECLARATION OF DEPOSIT OF MICROORGANISMS**

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

I declare:

- 1. A deposit of the microorganism identified as 4D11, of Accession number FERM BP-7758; the microorganism identified as KM341-1-19, of Accession number, FERM BP-7759; and the microorganism identified as 2105, of Accession number FERM BP-8024, were made at the International Patent Organism Depositary, National Institute of Advanced Industrial Science and Technology, AIST Tsukuba Central 6, 1-1, Higashi 1-Chome, Tsukuba-shi, Ibaraki-ken 305-8566 Japan and accepted under the provisions of the Budapest Treaty for patent purposes.
- 2. The deposits registered in the name of Kirin Beer Kabushiki Kaisha and having Accession numbers FERM BP-7758 & FERM BP-7759 were made on September 27, 2001. The deposit registered in the name of Kirin Beer Kabushiki Kaisha and having Accession number FERM BP-8024, was made on April 17, 2002.

- 3. All restrictions on the availability to the public of the culture deposited will be irrevocably removed upon the granting of a patent from the above-identified application.
  - 4. The deposit will be replaced if viable samples cannot be dispensed by the depository.
- 5. I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued therefrom.

Signed this 23rd day of June, 2006

Name: Courtenay C. Brinckerhoff

Attorney for Applicant Registration No. 37,288

#### INTERNATIONAL FORM

BUDAPEST TREATY ON THE INTERNATIONAL RECOGNITION OF THE DEPOSIT OF MICROORGANISMS FOR THE PURPOSES OF PATENT PROCEDURE

#### RECEIPT IN THE CASE OF AN ORIGINAL DEPOSIT

issued pursuant to Rule 7.1 by the INTERNATIONAL DEPOSITARY AUTHORITY identified at the bottom of this page.

#### TO DEPOSITOR:

Name:

KIRIN BEER KABUSHIKI KAISHA

Representative: Koichiro ARAMAKI

Address:

10-1, Shinkawa 2-chome, Chou-ku, Tokyo, Japan

#### I. IDENTIFICATION OF MICROORGANISM

Identification Reference Given by the Depositor:

Accession Number:

4D11

**FERM BP-7758** 

#### II . A SCIENTIFIC DESCRIPTION AND/OR PROPOSED TAXONOMIC POSITION

The microorganism identified under I above was accompanied by a document stating the following item(s).

- A Scientific Property
- Taxonomic Position

#### Ⅲ. RECEIPT AND ACCEPTANCE

This International Depositary Authority accepts the microorganism identified under I above, which was received on September 27, 2001.

(date of the original deposit)

#### IV. RECEIPT OF REQUEST FOR TRANSFER

This International Depositary Authority received the microorganism under I above on (date of the original deposit), and received on

a request for transfer from the original deposit to the deposit under the Budapest treaty.

#### V. INTERNATIONAL DEPOSITARY AUTHORITY

Name: International Patent Organism Depositary

National Institute of Advanced Industrial Science and Technology

Representative: <u>Dr. Yasuhiko KOMATSU, Director</u> (sealed)

Address:

AIST Tsukuba Central 6, 1-1, Higashi 1-Chome, Tsukuba-shi,

Ibaraki-ken 305-8566 Japan

Date: October 3,2001

特許手続上の微生物の寄託の国際的承認 に関するブタペスト条約

下記国際寄託当局によって規則 7. 1に従い 発行される。

原寄託についての受託証

BUDAPEST TREATY ON THE INTERNATIO-NAL RECOGNITION OF THE DEPOSIT. OF MICROORGANISMS FOR THE PURPOSES OF PATENT PROCEDURE

RECEIPT IN THE CASE OF AN ORIGINAL DEPOSIT

issued pursuant to Rule 7.1 by the INTERNATIONAL DEPOSITARY AUTHORITY identified at the bottom of this

殿

5-8566)

平成13年(2001)10月 3日

氏名 (名称)

麒麟麦酒株式会社

代表取締役社長

荒遊 康一郎

寄託者

あて名:

日本国茨城県つくば市東1丁目1番地1

Ibaraki-ken 305-8566 Japan

て名

東京都中央区新川二丁目10番1号

微生物の表示 (寄託者が付した識別のための表示) (受託番号) 4 D 1 1 FERM BP- 7758 2. 科学的性質及び分類学上の位置 1 欄の微生物には、次の事項を記載した文書が添付されていた。 科学的性質 分類学上の位置 3. 受領及び受託 本国際寄託当局は、 平成 13 年 9 月 27 日(原寄託日)に受領した1欄の微生物を受託する。 4. 移管請求の受領 本国際寄託当局は、 日 (原寄託日) に1 欄の微生物を受領した。 そして、 日 に原寄託よりプダペスト条約に基づく寄託への移管請求を受領した。 5. 国際寄託当局 独立行政法人産業技術総合研究所 特許生物寄託センター International Patent Organism National Institute of Advanc al Science and Technology 小松 泰雄湖南州南部 センター長 Dr. Yasuhiko Komatsu.

> 中央第6 AIST Tsukuba Central 6, 1-1, Higashi 1-Chome Tsukuba-shi,

#### INTERNATIONAL FORM

**BUDAPEST TREATY ON THE INTERNATIO-**NAL RECOGNITION OF THE DEPOSIT OF MICROORGANISMS FOR THE PURPOSES OF PATENT PROCEDURE

## RECEIPT IN THE CASE OF AN ORIGINAL DEPOSIT

issued pursuant to Rule 7.1 by the INTERNATIONAL DEPOSITARY AUTHORITY identified at the bottom of this page.

#### TO DEPOSITOR:

Name:

KIRIN BEER KABUSHIKI KAISHA

Representative: Koichiro ARAMAKI

Address:

10-1, Shinkawa 2-chome, Chou-ku, Tokyo, Japan

#### I. IDENTIFICATION OF MICROORGANISM

Identification Reference Given by the Depositor:

Accession Number:

KM341-1-19

**FERM BP-7759** 

#### II . A SCIENTIFIC DESCRIPTION AND/OR PROPOSED TAXONOMIC POSITION

The microorganism identified under I above was accompanied by a document stating the following item(s).

- A Scientific Property
- **Taxonomic Position**

#### Ⅲ. RECEIPT AND ACCEPTANCE

This International Depositary Authority accepts the microorganism identified under I above, which was received on September 27, 2001.

(date of the original deposit)

## IV. RECEIPT OF REQUEST FOR TRANSFER

This International Depositary Authority received the microorganism under I above on (date of the original deposit), and received on

a request for transfer from the original deposit to the deposit under the Budapest treaty.

#### V. INTERNATIONAL DEPOSITARY AUTHORITY

Name: International Patent Organism Depositary

National Institute of Advanced Industrial Science and Technology

Representative: <u>Dr. Yasuhiko KOMATSU, Director</u> (sealed)

Address:

AIST Tsukuba Central 6, 1-1, Higashi 1-Chome, Tsukuba-shi,

Ibaraki-ken 305-8566 Japan

Date: October 3, 2001

特許手続上の微生物の寄託の国際的承認 に関するブタペスト条約

RECEIPT IN THE CASE OF AN ORIGINAL

BUDAPEST TREATY ON THE INTERNATIO-NAL RECOGNITION OF THE DEPOSIT OF MICROORGANISMS FOR THE PURPOSES OF

下記国際寄配当局によって規則7.1に従い 発行される。

DEPOSIT

原寄託についての受託証

Ibaraki-ken 305-8566 Japan

issued pursuant to Rule 7.1 by the INTERNATIONAL DEPOSITARY AUTHORITY identified at the bottom of this page.

平成13年(2001)10月 3日

殿

氏名 (名称)

麒麟麦酒株式会社

代表取締役社長

洗酵 康一郎

PATENT PROCEDURE

寄託者

あて名

東京都中央区新川二丁目10番1号

1. 微生物の表示	1
(寄託者が付した識別のための表示) KM3 4 1-1-1 9	(受託番号) FERM BP- 7759
2. 科学的性質及び分類学上の位置	
1 欄の微生物には、次の事項を記載した文書が添付されていた。	
■ 科学的性質 ■ 分類学上の位置	
3. 受領及び受託	
本国際寄託当局は、 平成 13年 9月27日(原寄託日)に受領した」を 4. 移管請求の受領	閘の微生物を受託する。 
本国際寄託当局は、 年 月 日 (原寄託日) に 1 欄の微生物 そして、 年 月 日 に原寄託よりプダペスト条約に基づく8	
5. 国際寄託当局	
独立行政法人産業技術総合研究所 特許生物等	<b>評託センター</b>
International Patent Organism Depositary 名称: National Institute of Advance的证明证据	Science and Technolo
カンター長 小れ 表現の10000001100000	
センター長 小松 泰和記記問刊で記し Dr. Yasuhiko Komatsu 学記記記記 あて名: 日本国茨城県つくば市東1丁目1番地1 中央第6【郵便番号 1305 -	

# iAP20 Rec'd PCT/PTO 23 JUN 2006 (Translation)

#### INTERNATIONAL FORM

BUDAPEST TREATY ON THE INTERNATIONAL RECOGNITION OF THE DEPOSIT OF MICROORGANISMS FOR THE PURPOSES OF PATENT PROCEDURE

# RECEIPT IN THE CASE OF AN ORIGINAL DEPOSIT

issued pursuant to Rule 7.1 by the INTERNATIONAL DEPOSITARY AUTHORITY identified at the bottom of this page.

#### TO DEPOSITOR:

Name:

KIRIN BEER KABUSHIKI KAISHA

Representative: Koichiro ARAMAKI

Address:

10-1, Shinkawa 2-chome, Chou-ku, Tokyo, Japan

#### I. IDENTIFICATION OF MICROORGANISM

Identification Reference Given by the Depositor:

Accession Number:

2105

**FERM BP-8024** 

## II . A SCIENTIFIC DESCRIPTION AND/OR PROPOSED TAXONOMIC POSITION

The microorganism identified under I above was accompanied by a document stating the following item(s).

- A Scientific Property
- Taxonomic Position

#### **Ⅲ. RECEIPT AND ACCEPTANCE**

This International Depositary Authority accepts the microorganism identified under I above, which was received on April 17, 2002.

(date of the original deposit)

#### IV. RECEIPT OF REQUEST FOR TRANSFER

This International Depositary Authority received the microorganism under I above on (date of the original deposit), and received on a request for transfer from the original deposit to the deposit under the Budapest treaty.

## V. INTERNATIONAL DEPOSITARY AUTHORITY

Name: International Patent Organism Depositary

National Institute of Advanced Industrial Science and Technology

Representative: <u>Dr. Yasuhiko KOMATSU, Director</u> (sealed)

Address: AIST Tsukuba Central 6, 1-1, Higashi 1-Chome, Tsukuba-shi,

Ibaraki-ken 305-8566 Japan

Date: April 17, 2002

特許手続上の微生物の寄託の国際的承認 に関するプタペスト条約

下記国際寄託当局によって規則7.1に従い 発行される。

原寄託についての受託証

氏名 (名称)

麒麟麦酒株式会社 代表取締役社長

荒蒔 康一郎

DEPOSIT

PATENT PROCEDURE

寄託者

て

東京都中央区新川二丁目10番1号

殿

BUDAPEST TREATY ON THE INTERNATIO-NAL RECOGNITION OF THE DEPOSIT OF MICROORGANISMS FOR THE PURPOSES OF

RECEIPT IN THE CASE OF AN ORIGINAL'S

issued pursuant to Rule 7 19 by the

INTERNATIONAL DEPOSITARY AUTHORITY

identified at the bottom of this

微生物の表示

(寄託者が付した識別のための表示)

2105

(受託番号) FERM BP--8024

2. 科学的性質及び分類学上の位置

1 欄の微生物には、次の事項を記載した文書が添付されていた。

- 科学的性質
- 分類学上の位置

3. 受領及び受託

4月17日(原寄託日)に受領した1欄の微生物を受託する。 本国際寄託当局は、 平成 14年

4. 移管請求の受領

本国際寄託当局は、

日 (原寄託日) に1欄の微生物を受領した。

そして、

月

日 に原寄託よりブダペスト条約に基づく寄託への移管請求を受領した。

5. 国際寄託当局

独立行政法人産業技術総合研究所 特許生物寄託センター

International Patent Organi

語向原列当面 こと音音記述 Fial Science and Technology 記述目的記述

センター長

Syuichi Oka,

あて名: 日本国茨城県つくば市東1丁目1番地1 中央第6(郵便番号 305-8566)

AIST Tsukuba Central 6, 1-1, Higashi 1-Chome Tsukuba-shi,

Ibaraki-ken 305-8566 Japan

平成14年(2002) 4月17日